

PTO/SB/21 (04-07)

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Application Number 10/567,444-Conf. #5048 Filing Date **TRANSMITTAL** February 7, 2006 First Named Inventor **FORM** KAJI, Hideaki, et al. Art Unit 1755 **Examiner Name** Not Yet Assigned (to be used for all correspondence after initial filing) Attorney Docket Number 63 Total Number of Pages in This Submission 80374(47762) **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request Terminal Disclaimer Identify below): References (8) **Express Abandonment Request** Request for Refund Return Receipt Postcard x Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name **EDWARDS ANGELL PALMER & DODGE LLP** Signature Printed name

I hereby certify that this paper (along	with any paper referred to as being attached or enclosed) is being hand delivered on the date shown belo	
to: Customer Window, U.S. Patent a	nd Trademark Office. Randoloh Buitting 1401 Dulany Street Wexandria Virginia 22314.	***
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Dated: August 20, 2007	nd Trademark Office, Randolph Burting, 401 Dulany Street (Alexandria, Virginia 22314. Signature: (James E. Armstrong, IV)	

Reg. No.

42,266

James E. Armstrong, IV

August 20, 2007

Date



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Dated: August 20, 2007

Signature: VIIIII

Docket No.: 80374(47762) (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hideaki Kaji et al.

Application No.: 10/567,444

Filed: February 7, 2006 Art Unit: N/A

For: PROCESS FOR PRODUCING AQUEOUS

PIGMENT DISPERSIONS FOR INK-JET

RECORDING

Examiner: Not Yet Assigned

Confirmation No.: 5048

INFORMATION DISCLOSURE STATEMENT (IDS)

Customer Window
U.S. Patent and Trademark Office
Randolph Building
401 Dulany Street
Alexandria, Virginia 22314

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

I hereby certify, pursuant to 37 CFR 1.97(e)(2), that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Application No.: 10/567,444 2 Docket No.: 80374(47762)

A summary/abstract translation of the non-English language references is enclosed.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 80374(47762). A duplicate copy of this paper is enclosed.

Dated: August 20, 2007

Respectfully_submitted,

James E. Armstrong, IV

Registration No.: 42,266

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Attorneys/Agents For Applicant



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known				
Application Number	10/558,088-Conf. #33885048			
Filing Date	February 7, 2006			
First Named Inventor KAJI, Hideaki, et al.				
Art Unit	1755			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	80374(47762)			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US 5,310,778	5/10/1994	E. I. Du Pont de Nemours	Specification

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵	
	ВА	JP H8-183920	7/16/1996	Dainippon Ink & Chemical, Inc.	Specification		
	ВВ	JP 2001-262038	9/26/2001	Dainippon Ink & Chemical, Inc.	Specification		
	ВС	JP H6-157954 (corresponding to US 5,310,778)	6/7/1994	E. I. Du Pont de Nemours and Company	Specification		
	BD	JP 2000-80299	3/21/2000	Toyo Ink Mfg Co Ltd.	Specification	П	
	BE	JP 2001-81390	3/27/2001	Toyo ink Mfg Co Ltd.	Specification		
	BF	JP H8-183920	7/16/1996	Dainippon Ink & Chemical, Inc.	Specification	П	
	BG	JP 2002-256201	9/11/2002	Dainippon Ink & Chemical, Inc.	Specification	П	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
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¹Applicant's unique citation designation number (optional). 2Applicant is to place a check mark here if English language Translation is attached.